

## CONFIDENTIAL INVESTMENT QUESTIONNAIRE

### I. PERSONAL INFORMATION

Personal Information		Joint Information	
<i>Name</i>		<i>Name</i>	
<i>Birthdate</i>		<i>Birthdate</i>	
<i>Address (Street)</i>			
<i>City/State/Zip</i>			
<i>Home Telephone:</i>		<i>Fax/Email</i>	
<b>CHILDREN</b>			
<i>Child's Name</i>		<i>Birthdate</i>	
<i>Child's Name</i>		<i>Birthdate</i>	
<i>Child's Name</i>		<i>Birthdate</i>	
<i>Child's Name</i>		<i>Birthdate</i>	

### II. FINANCIAL INFORMATION

FINANCIAL INFORMATION		DO YOU OWN ANY OF THE FOLLOWING?		
<i>Annual Earned Income</i>	\$	Health Insurance:	Yes	No
<i>Annual Interest Income</i>	\$	Life Insurance:	Yes	No
<i>Other Income</i>	\$	Disability Insurance:	Yes	No
<i>Retirement Plan Income</i>	\$	Home Insurance:	Yes	No
<i>Approximate Total Assets</i>	\$	Umbrella Liability Ins:	Yes	No
<i>Approximate Total Debt</i>	\$	Auto Insurance:	Yes	No

### III. CURRENT INVESTMENTS (Exclusive of Personal Residence)

Checking Accounts:	\$	Stocks:	\$
Savings Accounts & CD's	\$	Mutual Funds:	\$
Bonds	\$	Investment Property:	\$
Annuities:	\$	Other Investments:	\$

1. What amount do you choose to set aside for emergencies and major expenses? \_\_\_\_\_

2. At what age do you plan to retire? \_\_\_\_\_

3. Are you covered by a company sponsored retirement plan? Please describe: \_\_\_\_\_

4. What is the estimated market value of the assets that you are considering for investment? \$ \_\_\_\_\_
5. Do you want to receive current income from these funds? \_\_\_Y \_\_\_N If yes, how much? \$ \_\_\_\_\_
6. What is the primary purpose for the investment of these funds? \_\_\_\_\_  
\_\_\_\_\_
7. Please describe any changes in your overall financial circumstances that you anticipate: \_\_\_\_\_  
\_\_\_\_\_
8. Do you expect substantial additions to or withdrawals of principal in your account? \_\_\_\_\_  
If so, please estimate the amount and timing: \_\_\_\_\_
9. Do you own a home? \_\_\_Y \_\_\_N If yes, what is the estimated current value? \$ \_\_\_\_\_  
What is the principal balance \$ \_\_\_\_\_ and rate of interest \_\_\_\_\_ % of mortgage(s)?

**IV. INVESTMENT GOALS AND RISK TOLERANCE**

1. Which of the following best describes your objectives with regards to investment funds?
  - a. Maximum capital appreciation with no need for current income pursuing higher than market returns with a risk level that may be above market.
  - b. Capital appreciation with at or below market risk with little need for current income.
  - c. Current income balanced with capital appreciation, with a moderately aggressive combined risk level.
  - d. Current income is primary, with a secondary goal of keeping up with inflation.
  - e. Preservation of capital, with a very conservative level of portfolio risk.

**V. PERSONAL EXPERIENCE**

1. What is the BEST investment you have ever made? \_\_\_\_\_  
\_\_\_\_\_
2. What is the WORST investment you have ever made? \_\_\_\_\_  
\_\_\_\_\_
3. Are there investments you have a specific interest in? \_\_\_\_\_  
\_\_\_\_\_
4. Are there investments you want to avoid? \_\_\_\_\_
5. Have you ever worked with an investment adviser? \_\_\_Y \_\_\_N If yes, please describe your experience.  
\_\_\_\_\_  
\_\_\_\_\_

SIGNATURE	DATE

JOINT SIGNATURE	DATE

Thank you for taking the time to complete the Confidential Investment Questionnaire. All answers will be held in the highest degree of confidence.

Please note: This is not an agreement/contract for services but merely a means to gather important information to better serve you. We will contact you shortly after receipt to schedule a phone appointment.

\* **RETURN TO:** PM Investments, P.O. Box 66, Jacksonville, OR 97530  
(800) 728-7072 • (541) 245-9006 • (541) 245-9077 FAX

**PLEASE INCLUDE COPIES OF THE FIRST 2 PAGES OF LAST YEARS TAX RETURN AND COPIES OF INVESTMENT STATEMENTS ALONG WITH ANY OTHER RELEVANT DATA.**